

Edgar Filing: PRINCIPAL FINANCIAL GROUP INC - Form 8-K

PRINCIPAL FINANCIAL GROUP INC

Form 8-K

November 07, 2002

SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report: November 7, 2002
(Date of earliest event reported)

PRINCIPAL FINANCIAL GROUP, INC.
(EXACT NAME OF REGISTRANT AS SPECIFIED IN ITS CHARTER)

| | | |
|---|------------------------|--|
| Delaware | 1-16725 | 42-1520346 |
| (State or other jurisdiction of incorporation) | Commission file number | (I.R.S. Employer Identification Number) |

711 High Street, Des Moines, Iowa 50392
(Address of principal executive offices)

(Registrant's telephone number, including area code)
(515) 247-5111

Item 7. Exhibits

99.1 Table of Mortgage Loan Servicing Portfolio, by interest rate, as of
September 30, 2002.

Item 9. Regulation FD Disclosure.

Principal Financial Group, Inc. is disclosing its mortgage loan servicing portfolio, broken down by interest rate, for the period ended as of September 30, 2002. A table disclosing this is attached hereto as Exhibit 99.1.

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

PRINCIPAL FINANCIAL GROUP, INC.

By: /S/ THOMAS J. GRAF

Name: Thomas J. Graf
Title: Senior Vice President -
Investor Relations

Date: November 7, 2002

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Exhibit 99.1

Mortgage Loan Servicing Portfolio
Period Ended September 30, 2002
By Interest Rate

| INTEREST RATE | |
|---------------|-----------|
| ----- | |
| 6% and under | 9,098.2 |
| 6.01 - 6.50% | 20,579.6 |
| 6.51 - 7.00% | 42,737.1 |
| 7.01 - 8.00% | 26,786.5 |
| 8.01 - 9.00% | 2,598.2 |
| 9.01 - 10.00% | 144.8 |
| 10% and over | 27.0 |
| | ----- |
| TOTAL | 101,971.4 |