#### Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

#### TD AMERITRADE HOLDING CORP

Form 4

November 29, 2006

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

3235-0287 Number: January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

See Instruction 1(b).

Common

Stock

11/27/2006

(Print or Type Responses)

1. Name and Address of Reporting Person * SLEZAK ROBERT T			Issuer Name <b>and</b> Ticker or Transbol  O AMERITRADE HOLDI  ORP [AMTD]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 9520 N. 102		(M	3. Date of Earliest Transaction (Month/Day/Year) 11/17/2006		_X_ Director Officer (give below)		Owner er (specify
(Street)		4.	f Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
OMAHA, NE 68122			ed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Sec	urities Acq	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dany (Month/Day/	Code (Instr. 3, 4 an Year) (Instr. 8)	sed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

P

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

25,200

Ι

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

10,000

Α

17.01

By trust

#### Edgar Filing: TD AMERITRADE HOLDING CORP - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	of	vative rities nired or osed 0) r. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Put option (obligation to buy)	\$ 12.5	11/17/2006		Е		80	06/29/2006	11/17/2006	Common Stock	8,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
SLEZAK ROBERT T							
9520 N. 102ND ST.	X						

## **Signatures**

**OMAHA, NE 68122** 

/s/ Graham Fowler, as attorney-in-fact for Robert T.
Slezak
11/29/2006

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Representing put option (obligation to buy) for 11,500 shares of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2